## **Population coding**

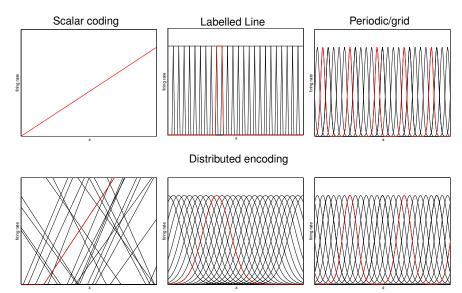
Many quantities — whether stimulus-derived or entirely internal — seem to be represented by the activity of populations of neurons with overlapping responses.

- Improved precision from combining noisy elements.
- Redundancy (e.g. against cell death).
- Computational, processing, or memory advantages?
- Representations of confidence, uncertainty or multipotent plans?

The full relationship between stimuli and population response has been difficult to study directly.

Instead, thinking about population codes has been dominated by guesses regarding (typically low-dimensional) encoded variables based on the selectivity/invariance pattern.

Coding a continuous variable



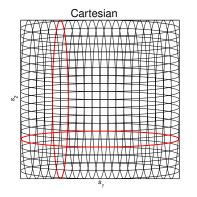
### Coding a continuous variable

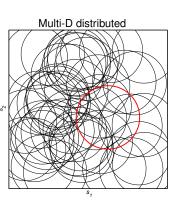
All of these schemes have been found in biological systems. Issues:

- 1. redundancy and robustness (not scalar)
- 2. efficiency/resolution (not labelled line)
- 3. local computation (not scalar or scalar distributed)
- 4. multiple values (not scalar, grid)

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**Population Coding** 





efficient

problems with multiple values

- represent multiple values
- may require more neurons

#### Optimality

- Biological systems (including brains) have evolved through natural selection.
  - loosely an optimisation
    - for propagation (of genes)
    - ▶ in a dynamic landscape (changing environment; competitors; predators; prey ...)
  - propagation of genes requires successful individuals
  - successful individuals require effective systems and components
- Much theory seems to understand evolved systems solns. as "optimal".
  - cost function?
  - constraints?

In a basic sensory system:

- cost accurate, sensitive detecton
- constraints neuron count / energy / wiring ?

# Theory: decoding and encoding

Theoretically tractable questions:

- given assumed encoding functions, how well can we (or downstream areas) decode the encoded stimulus value?
- what does the optimal decoder look like?
- what encoding schemes would be optimal, in the sense of allowing decoders to estimate stimulus values as well as possible.

### **Rate coding**

In the rate coding context, we imagine that the firing rate of a cell r represents a single (possibly multidimensional) stimulus value s at any one time:

r = f(s).

Even if *s* and *r* are embedded in time-series we assume:

- 1. that coding is instantaneous (with a fixed lag),
- 2. that *r* (and therefore *s*) is constant over a short time  $\Delta$ .

The actual number of spikes *n* produced in  $\Delta$  is then taken to be distributed around  $r\Delta$ , often according to a Poisson distribution.

### **Tuning curves**

The function f(s) is known as a tuning curve. Commonly assumed forms:

Gaussian

 $r_0 + r_{\max} \exp\left[-\frac{1}{2\sigma^2}(x - x_{\text{pref}})^2\right]$ 

(Thresholded) Ramp

 $r_0 + \Theta(x - x_{\text{thr}}) r_{\max} \rho \cdot (x - x_{\text{thr}})$ 

• Cosine

 $r_0 + r_{\max} \cos( heta - heta_{ extsf{pref}})$ 

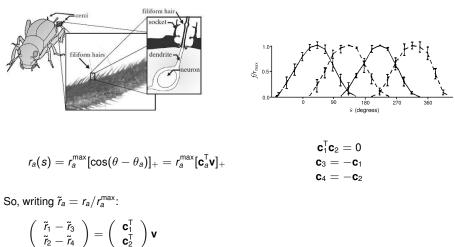
• Wrapped Gaussian

$$r_0 + r_{max} \sum_n \exp\left[-\frac{1}{2\sigma^2}(\theta - \theta_{pref} - 2\pi n)^2\right]$$

- von Mises ("circular Gaussian")  $r_0 + r_{\max} \exp \left[\kappa \cos(\theta \theta_{\text{pref}})\right]$
- periodic (grid)

$$f(s) = f_1(\sin(2\pi s/\lambda))$$

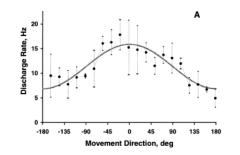
# **Cricket cercal system**



$$\mathbf{v} = (\mathbf{c}_1 \mathbf{c}_2) \begin{pmatrix} \tilde{r}_1 - \tilde{r}_3 \\ \tilde{r}_2 - \tilde{r}_4 \end{pmatrix} = \tilde{r}_1 \mathbf{c}_1 - \tilde{r}_3 \mathbf{c}_3 + \tilde{r}_2 \mathbf{c}_2 - \tilde{r}_4 \mathbf{c}_4 = \sum_a \tilde{r}_a \mathbf{c}_a$$

This is called population vector decoding.

# Motor cortex (simplified)



Cosine tuning, randomly distributed preferred directions. In general, population vector decoding works for

- cosine tuning
- cartesian or dense (*tight*) directions

But:

- ▶ is it optimal?
- does it generalise? (Gaussian tuning curves)
- how accurate is it?

# **Bayesian decoding**

Take  $n_a \sim \text{Poisson}[f_a(s)\Delta]$ , independently for different cells. Then

$$P(\mathbf{n}|s) = \prod_{a} \frac{e^{-f_a(s)\Delta}(f_a(s)\Delta)^{n_a}}{n_a!}$$

and

1

$$\log P(s|\mathbf{n}) = -\sum_a f_a(s)\Delta + n_a log(f_a(s)\Delta) - \log n_a! + \log P(s)$$

Assume  $\sum_{a} f_{a}(s)$  is independent of *s* for a homogeneous population, and prior is flat.

$$\frac{d}{ds} \log P(s|\mathbf{n}) = \frac{d}{ds} \sum_{a} n_{a} \log(f_{a}(s)\Delta)$$
$$= \sum_{a} \frac{n_{a}}{f_{a}(s)\Delta} f'_{a}(s)\Delta$$

Now, consider 
$$f_a(s) = e^{-(s-s_a)^2/2\sigma^2}$$
, so  $f'_a(s) = -(s-s_a)/\sigma^2 e^{-(s-s_a)^2/2\sigma^2}$   
and set the derivative to 0:

$$\sum_{a} n_{a}(s - s_{a})/\sigma^{2} = 0$$
$$\hat{s}_{MAP} = \frac{\sum_{a} n_{a}s_{a}}{\sum_{a} n_{a}}$$

So the MAP estimate is a population average of preferred directions. Not exactly a population vector.

Now consider a (one dimensional) stimulus that takes on continuous values (e.g. angle).

- contrast
- orientation
- motion direction
- movement speed

Suppose a neuron fires n spikes in response to stimulus s according to some distribution

 $P(n|f(s)\Delta)$ 

Given an observation of *n*, how well can we estimate *s*?

### **Continuous estimation**

Useful to consider a limit given  $N \to \infty$  measurements  $n_i$  all generated by the same stimulus  $s^*$ .

#### The posterior over s is

$$\log P(s|\{n_i\}) = \sum_{i} \log P(n_i|s) + \log P(s) - \log Z(\{n_i\})$$

Taking  $N \rightarrow \infty$  we have

$$\frac{1}{N}\log P(s|\{n_i\}) \to \langle \log P(n|s) \rangle_{n|s^*} + 0 - \log Z(s^*)$$

and so

$$P(s|\{n_i\}) \to e^{N\langle \log P(n|s)\rangle_{n|s^*}}/Z$$
$$= e^{-N\mathsf{KL}[P(n|s^*)||P(n|s)]}/Z$$

(Note: Z is being redefined as we go, but never depends on s)

### **Continuous estimation**

Now, Taylor expand the KL divergence in s around  $s^*$ :

$$\begin{aligned} \mathsf{KL}[P(n|s^*)||P(n|s)] \\ &= -\langle \log P(n|s) \rangle_{n|s^*} + \langle \log P(n|s^*) \rangle_{n|s^*} \\ &= -\langle \log P(n|s^*) \rangle_{n|s^*} - (s - s^*) \Big\langle \frac{d \log P(n|s)}{ds} \Big|_{s^*} \Big\rangle_{s^*} - \frac{1}{2} (s - s^*)^2 \Big\langle \frac{d^2 \log P(n|s)}{ds^2} \Big|_{s^*} \Big\rangle_{s^*} + \dots \\ &+ \langle \log P(n|s^*) \rangle_{n|s^*} \\ &= -\frac{1}{2} (s - s^*)^2 \Big\langle \frac{d^2 \log P(n|s)}{ds^2} \Big|_{s^*} \Big\rangle_{s^*} + \dots \\ &= \frac{1}{2} (s - s^*)^2 J(s^*) + \dots \end{aligned}$$

So in asymptopia, the posterior  $\rightarrow \mathcal{N}(s^*, 1/J(s^*))$ .  $J(s^*)$  is called the *Fisher Information*.

$$J(s^*) = -\left\langle \frac{d^2 \log P(n|s)}{ds^2} \Big|_{s^*} \right\rangle_{s^*} = \left\langle \left( \frac{d \log P(n|s)}{ds} \Big|_{s^*} \right)^2 \right\rangle_{s^*}$$

(You will show that these are identical in the homework.)

#### Cramér-Rao bound

The Fisher Information is important even outside the large data limit due to a deeper result that is due to Cramér and Rao.

This states that for any *N*, any *unbiased* estimator  $\hat{s}(\{n_i\})$  of *s* will have the property that

$$ig\langle (\hat{s}(\{n_i\})-s^*)^2ig
angle_{n_i|s^*}\geq rac{1}{J(s^*)}.$$

Thus, Fisher Information gives a lower bound on the variance of any unbiased estimator. This is called the Cramér-Rao bound.

[For estimators with *bias*  $b(s^*) = \langle \hat{s}(\{n_i\}) - s^* \rangle$  the bound is:  $\langle (\hat{s}(\{n_i\}) - s^*)^2 \rangle_{n_i|s^*} \ge \frac{(1+b'(s^*))^2}{J(s^*)} + b^2(s^*)]$ 

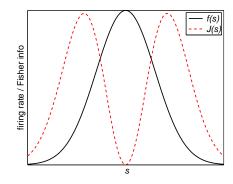
The Fisher Information will be our primary tool to quantify the performance of a population code.

# Fisher Info and tuning curves

 $n = r\Delta + \text{noise}; \quad r = f(s) \Rightarrow$ 

$$J(s^*) = \left\langle \left( \frac{d}{ds} \Big|_{s^*} \log P(n|s) \right)^2 \right\rangle_{s^*}$$
$$= \left\langle \left( \frac{d}{dr\Delta} \Big|_{t(s^*)} \log P(n|r\Delta)\Delta t'(s^*) \right)^2 \right\rangle_{s^*}$$

 $= J_{\text{noise}}(r\Delta)\Delta^2 f'(s^*)^2$ 



#### **Fisher info for Poisson neurons**

For Poisson neurons  

$$P(n|r\Delta) = \frac{e^{-r\Delta}}{(r\Delta)^n} n!$$
so  

$$J_{\text{noise}}[r\Delta] = \left\langle \left( \frac{d}{dr\Delta} \Big|_{r^*\Delta} \log P(n|r\Delta) \right)^2 \right\rangle_{s^*}$$

$$= \left\langle \left( \frac{d}{dr\Delta} \Big|_{r^*\Delta} - r\Delta + n\log r\Delta - \log n! \right)^2 \right\rangle_{s^*}$$

$$= \left\langle \left( \left( -1 + n/r^*\Delta \right)^2 \right)_{s^*} \right\rangle_{s^*}$$

$$= \left\langle \frac{(n - r^*\Delta)^2}{(r^*\Delta)^2} \right\rangle$$

$$(r^* \Delta)^2 / s^*$$

$$= \frac{r^* \Delta}{(r^* \Delta)^2} = \frac{1}{r^* \Delta}$$
 [not surprising!  $\widehat{r^* \Delta} = n$  and  $\mathbb{V}[n] = r^* \Delta$ ]

and, referred back to the stimulus value:

 $J[s^*] = f'(s^*)^2 \Delta / f(s^*)$ 

### Population Fisher Info – independent noise

Fisher Informations for independent random variates add:

$$J_{\mathbf{n}}(s) = \left\langle -\frac{d^2}{ds^2} \log P(\mathbf{n}|s) \right\rangle$$
$$= \left\langle -\frac{d^2}{ds^2} \sum_{a} \log P(n_a|s) \right\rangle$$
$$= \sum_{a} \left\langle -\frac{d^2}{ds^2} \log P(n_a|s) \right\rangle = \sum_{a} J_{n_a}(s).$$
$$= \Delta \sum_{a} \frac{f'_a(s)^2}{f_a(s)} \quad \text{[for Poisson cells]}$$

 $= \frac{1}{2} \left\langle -\operatorname{Tr} \left[ \Sigma'(\Sigma^{-1})' \right] - \operatorname{Tr} \left[ \Sigma(\Sigma^{-1})'' \right] \right\rangle$ 

 $=\frac{1}{2}\mathrm{Tr}\left[\Sigma'\Sigma^{-1}\Sigma'\Sigma^{-1}\right]+\mu'^{\mathsf{T}}\Sigma^{-1}\mu'$ 

 $=\frac{1}{2}\left\langle -\frac{\partial^{2}}{\partial s^{2}}\log\left|\boldsymbol{\Sigma}^{-1}\right|+\frac{\partial^{2}}{\partial s^{2}}\mathsf{Tr}\left[\boldsymbol{\Sigma}^{-1}(\mathbf{r}-\boldsymbol{\mu})(\mathbf{r}-\boldsymbol{\mu})^{\mathsf{T}}\right]\right\rangle$ 

+ Tr  $\left[ (\Sigma^{-1})^{\prime\prime} (\mathbf{r} - \boldsymbol{\mu}) (\mathbf{r} - \boldsymbol{\mu})^{\mathsf{T}} \right] - 2$ Tr  $\left[ (\Sigma^{-1})^{\prime} \boldsymbol{\mu}^{\prime} (\mathbf{r} - \boldsymbol{\mu})^{\mathsf{T}} \right]$ 

 $-2\text{Tr}\left[(\boldsymbol{\Sigma}^{-1})'\boldsymbol{\mu}'(\boldsymbol{r}-\boldsymbol{\mu})\right]-2\text{Tr}\left[\boldsymbol{\Sigma}^{-1}\boldsymbol{\mu}''(\boldsymbol{r}-\boldsymbol{\mu})\right]-2\text{Tr}\left[\boldsymbol{\Sigma}^{-1}\boldsymbol{\mu}'\boldsymbol{\mu}'^{\mathsf{T}}\right]\right\rangle$ 

 $=\frac{1}{2}\left(-\text{Tr}\left[\boldsymbol{\Sigma}'(\boldsymbol{\Sigma}^{-1})'\right]-\text{Tr}\left[\boldsymbol{\Sigma}(\boldsymbol{\Sigma}^{-1})''\right]+\text{Tr}\left[(\boldsymbol{\Sigma}^{-1})''\boldsymbol{\Sigma}\right]-2\text{Tr}\left[\boldsymbol{\Sigma}^{-1}\boldsymbol{\mu}'\boldsymbol{\mu}'^{\mathsf{T}}\right]\right)$ 

 $=\frac{1}{2}\left\langle-\frac{\partial}{\partial s}\text{Tr}\left[\Sigma(\Sigma^{-1})'\right]+\frac{\partial}{\partial s}\text{Tr}\left[(\Sigma^{-1})'(\mathbf{r}-\boldsymbol{\mu})(\mathbf{r}-\boldsymbol{\mu})^{T}\right]-2\frac{\partial}{\partial s}\text{Tr}\left[\Sigma^{-1}\boldsymbol{\mu}'(\mathbf{r}-\boldsymbol{\mu})\right]\right\rangle$ 

 $\mathbf{r} \sim \mathcal{N}\left( \boldsymbol{\mu}(s), \boldsymbol{\Sigma}(s) 
ight)$   $J(s) = -\left\langle rac{\partial^2}{\partial s^2} \log p(\mathbf{r}|s) 
ight
angle$ 

**Gaussian FI** 

$$J(s) = \frac{1}{2} \operatorname{Tr} \left[ \Sigma' \Sigma^{-1} \Sigma' \Sigma^{-1} \right] + {\mu'}^{\mathsf{T}} \Sigma^{-1} \mu$$

- Most focus on second term.
- "Linear" Fisher information gives performance of a locally-optimal linear decoder.
- Eigendecomposition  $\Sigma = \sum_{i} \lambda_{i} \mathbf{v}_{i} \mathbf{v}_{i}^{\mathsf{T}}$

$$\Rightarrow J_1(\boldsymbol{s}) = \sum_i \lambda_i^{-1} (\mathbf{v}_i^{\mathsf{T}} \boldsymbol{\mu}')^2 \,.$$

Large eigenvalue along µ' gives low FI – sometimes called 'differential correlation' or 'information-limiting' correlation.

### **Optimal tuning properties**

Consider a population of cells that codes the value of a *D* dimensional stimulus, **s**. Let the *a*th cell emit *r* spikes in an interval  $\tau$  with probability distribution that is conditionally independent of the other cells (given **s**) and has the form

$$\mathsf{P}_{a}(r \mid \mathbf{s}, \tau) = S(r, f^{a}(\mathbf{s}), \tau).$$

Also let the tuning curve of the *a*th cell,  $f^{a}(\mathbf{s})$ , be circularly symmetric:

$$f^{a}(\mathbf{s}) = F \cdot \phi\left((\xi^{a})^{2}
ight); \qquad (\xi^{a})^{2} = \sum_{i}^{D} (\xi^{a}_{i})^{2}; \qquad \xi^{a}_{i} = rac{s_{i} - c_{i}^{a}}{\sigma}$$

where *F* is a maximal rate and the function  $\phi$  is monotically decreasing. The parameters  $\mathbf{c}^a$  and  $\sigma$  give the centre of the *a*th tuning curve and the (common) width.

#### **Optimal tuning properties**

Now, the (*ij*)th term in the FI matrix for the *a*th cell is (by definition)

$$J^{a}_{ij}(\mathbf{s}) = \mathsf{E}\left[\frac{\partial}{\partial s_{i}}\log\mathsf{P}^{a}(r\mid\mathbf{s},\tau)\frac{\partial}{\partial s_{j}}\log\mathsf{P}^{a}(r\mid\mathbf{s},\tau)\right]$$

Applying the chain rule repeatedly, we find that

$$egin{aligned} rac{\partial}{\partial s_i}\log \mathsf{P}^a(r\mid \mathbf{s}, au) &= rac{1}{S(r,f^a(\mathbf{s}), au)}rac{\partial}{\partial s_i}S(r,f^a(\mathbf{s}), au) \ &= rac{S^{(2)}(r,f^a(\mathbf{s}), au)}{S(r,f^a(\mathbf{s}), au)}rac{\partial}{\partial s_i}f^a(\mathbf{s}) \end{aligned}$$

(where  $S^{(2)}$  indicates differentiation with respect to the second argument)

$$=\frac{S^{(2)}(r,f^{a}(\mathbf{s}),\tau)}{S(r,f^{a}(\mathbf{s}),\tau)}F\phi'\left(\left(\xi^{a}\right)^{2}\right)\frac{\partial}{\partial s_{i}}\sum_{i}^{D}\left(\xi_{i}^{a}\right)^{2}$$
$$=\frac{S^{(2)}(r,f^{a}(\mathbf{s}),\tau)}{S(r,f^{a}(\mathbf{s}),\tau)}F\phi'\left(\left(\xi^{a}\right)^{2}\right)\frac{2(s_{i}-c_{i}^{a})}{(\sigma_{i}^{a})^{2}}$$

So,

$$\begin{split} J_{ij}^{a}(\mathbf{s}) &= \mathsf{E}\left[\left(\frac{S^{(2)}(r, f^{a}(\mathbf{s}), \tau)}{S(r, f^{a}(\mathbf{s}), \tau)}\right)^{2}\right] \mathsf{4F}^{2}\left(\phi'\left((\xi^{a})^{2}\right)\right)^{2}\frac{(s_{i} - c_{i}^{a})(s_{j} - c_{j}^{a})}{\sigma^{4}} \\ &= \mathsf{A}_{\phi}\left((\xi^{a})^{2}, \mathsf{F}, \tau\right)\frac{(s_{i} - c_{i}^{a})(s_{j} - c_{j}^{a})}{\sigma^{4}} \end{split}$$

where the function  $A_{\phi}$  does not depend explicitly on  $\sigma$ .

### **Optimal tuning properties**

We assumed neurons were independent  $\Rightarrow$  Fisher information adds. Approximate by integral over the tuning curve centres, assuming uniform density  $\eta$  of neurons.

$$\begin{aligned} J_{ij}(\mathbf{s}) &= \sum_{a} J_{ij}^{a}(\mathbf{s}) \\ &\approx \int_{-\infty}^{+\infty} dc_{1}^{a} \cdots \int_{-\infty}^{+\infty} dc_{D}^{a} \eta J_{ij}^{a}(\mathbf{s}) \\ &= \int_{-\infty}^{+\infty} dc_{1}^{a} \cdots \int_{-\infty}^{+\infty} dc_{D}^{a} \eta A_{\phi} \left( (\xi^{a})^{2}, F, \tau \right) \frac{(s_{i} - c_{i}^{a})(s_{j} - c_{j}^{a})}{\sigma^{4}} \end{aligned}$$

Change variables:  $c_i^a \rightarrow \xi_i^a$ 

$$= \int_{-\infty}^{+\infty} \sigma d\xi_1^a \cdots \int_{-\infty}^{+\infty} \sigma d\xi_D^a \eta A_{\phi} \left( \left( \xi^a \right)^2, F, \tau \right) \frac{\xi_i^a \xi_j^a}{\sigma^2} \\ = \frac{\sigma^D}{\sigma^2} \eta \int_{-\infty}^{+\infty} d\xi_1^a \cdots \int_{-\infty}^{+\infty} d\xi_D^a A_{\phi} \left( \left( \xi^a \right)^2, F, \tau \right) \xi_i^a \xi_j^a$$

Now, if  $i \neq j$ , integral is odd in both  $\xi_i^a$  and  $\xi_j^a$ , and thus vanishes. If i = j, then the integral has some value  $D \cdot K_{\phi}(F, \tau, D)$ , independent of  $\sigma$ . Thus,

$$J_{ii} = \sigma^{D-2} \eta D K_{\phi}(F, \tau, D)$$

and the total Fisher information is proportional to  $\sigma^{D-2}$ .

### **Optimal tuning properties**

Thus optimal tuning width depends on the stimulus dimension through the interplay of two effects:

slope:	$f'(\boldsymbol{s}) \propto \sigma^{-1} \Rightarrow J_{a}(\boldsymbol{s}) \propto \sigma^{-2}$	per cell
number of cells:	$N(s) \propto \sigma^{D} \Rightarrow J(s) \propto \sigma^{D-2}$	population

- ► *D* = 1
  - $\Rightarrow \sigma \rightarrow 0$  (although a lower limit is encountered when the tuning width falls below the inter-cell spacing)
- ▶ *D* = 2
  - $\Rightarrow$  *J* independent of  $\sigma$ .
- ► D > 2
  - $\Rightarrow \sigma \rightarrow \infty$  (actual limit set by valid stimuli).
- If circular symmetry is relaxed to allow different scales in each dimension for different cells then solution is a Cartesian code (narrow in one dimension, wide in others).
- Single-bump constraint is essential to analysis. Fisher information cannot address ambiguity between bumps.
- Single coded value analysing multiple values or distributions is more complex.